

BEFORE THE ARIZONA MEDICAL BOARD

In the Matter of

**DAVID A. RUBEN, M.D.**

Holder of License No. 11382

For the Practice of Allopathic Medicine  
In the State of Arizona.

Case Nos. MD-09-0131A  
MD-09-0250A  
MD-09-0926A  
MD-09-1263A  
MD-10-0100A

**ORDER FOR DECREE OF CENSURE,  
PRACTICE RESTRICTION, PROBATION  
AND CONSENT TO SAME**

David A. Ruben, M.D. ("Respondent") elects to permanently waive any right to a hearing and appeal with respect to this Order for Decree of Censure and Practice Restriction; admits the jurisdiction of the Arizona Medical Board ("Board"); and consents to the entry of this Order by the Board. Respondent consents to the entry of the Order set forth below as a compromise of a disputed matter between Respondent and the Board, and does so only for the purpose of terminating the disputed matter by agreement. While Respondent does not admit the Findings of Fact and Conclusions of law, Respondent acknowledges it is the Board's position that, if this matter proceeded to formal hearing, the Board could establish sufficient evidence to support a conclusion that certain aspects of Respondent's conduct constituted unprofessional conduct.

**FINDINGS OF FACT**

1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.

2. Respondent is the holder of license number 11382 for the practice of allopathic medicine in the State of Arizona.

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3. The Board initiated case number MD-09-0131A after receiving a complaint regarding Respondent's care and treatment of several patients.

## PATIENT AL

4. On November 6, 2006, an eighteen year-old female patient ("AL") presented Respondent and reported moodiness and irritability. Respondent diagnosed her with Attention Deficit Hyperactivity Disorder (ADHD) and prescribed Adderall; however, there was no documentation of the prescription in AL's record. There also was no documentation that Respondent performed an adequate psychiatric evaluation, which included ordering laboratory studies; obtaining her past medical records, past history of alcohol or substance abuse, or past psychiatric history or performing a functional assessment to support the diagnosis and prescription. There also was no documented plan of treatment for AL.

5. From November 2006 through February 2009, Respondent provided AL with ant, early and escalated doses of Adderall without documenting any rationale for so. In addition, on several occasions AL attempted to refill her Adderall prescription however, there was no documentation that Respondent investigated or addressed rationale for doing so. During the course of treatment, Respondent added Prozac, alta, Lorazepam, and Zoloft to AL's medication regime without documenting a ale for the prescriptions or whether he discussed the risks and benefits of taking the ations. There also was no documentation that Respondent ordered any laboratory s to support his continued prescribing of Adderall or any urine drug screens to mine whether AL was taking the medication as prescribed and/or any illicit nces. In addition, several of his progress notes were illegible.

1           6.     The standard of care requires a psychiatrist to perform adequate psychiatric  
2 evaluations prior to commencing treatment.

3           7.     Respondent deviated from the standard of care because he did not perform  
4 an adequate psychiatric evaluation for AL.

5           8.     The standard of care when prescribing Adderall requires a physician to  
6 perform tests to confirm the diagnosis and the necessity of the medication and to monitor  
7 the patient's use of the medication.

8           9.     Respondent deviated from the standard of care because he did not perform  
9 tests to confirm the diagnosis and the necessity of the medication and he did not monitor  
10 AL's use of the medication.

11          10.    There was no collateral information to support prescribing Adderall creating a  
12 potential for misdiagnosis, addiction, abuse, misuse, overdose, and diversion. Since no  
13 urine drug tests were performed it is unknown whether AL was taking the medication as  
14 prescribed and/or whether she was utilizing illicit substances.

15          11.    A physician is required to maintain adequate legible medical records  
16 containing, at a minimum, sufficient information to identify the patient, support the  
17 diagnosis, justify the treatment, accurately document the results, indicate advice and  
18 cautionary warnings provided to the patient and provide sufficient information for another  
19 practitioner to assume continuity of the patient's care at any point in the course of  
20 treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because there  
21 was no documentation of the initial Adderall prescription, no documented initial plan of  
22 treatment, the psychiatric evaluation was inadequate, there was no documented rationale  
23 for his prescribing of several medications, and several of his progress notes were illegible,  
24 including the use of non-standard abbreviations.

**PATIENT KF**

12. On March 25, 2008, a twenty-one year-old female patient ("KF") presented to Respondent and reported difficulty finishing tasks and focusing. Respondent prescribed KF Adderall. There was no documentation that Respondent obtained her past medical records or ordered any laboratory tests that would qualify KF for a diagnosis to support the use of Adderall.

13. At several subsequent office visits, Respondent prescribed frequent early refills of Adderall without documenting any rationale for the prescriptions. On November 4, 2008, Respondent increased KF's dose from 20mg to 30mg without any rationale for the prescriptions. There was no documentation that Respondent ordered any laboratory studies to support his continued prescribing of Adderall or any urine drug screens to determine whether KF was taking the medications as prescribed and/or any illicit substances. In addition, several of Respondent's progress notes were illegible.

14. The standard of care requires a psychiatrist to perform adequate psychiatric evaluations.

15. Respondent deviated from the standard of care because he did not perform an adequate psychiatric evaluation for KF.

16. The standard of care when prescribing Adderall requires a physician to obtain prior medical records, perform tests to confirm the diagnosis and the necessity of the medication and to monitor the patient's use of the medication.

17. Respondent deviated from the standard of care because he did not obtain prior medical records, perform tests to confirm the diagnosis and the necessity of the medication and he did not monitor KF's use of the medication.

18. There was no collateral information to support prescribing Adderall creating a potential for misdiagnosis, addiction, abuse, misuse, overdose, and diversion. Since no

1 urine drug tests were performed it is unknown whether KF was taking the medication as  
2 prescribed and/or whether she was utilizing illicit substances.

3 19. A physician is required to maintain adequate legible medical records  
4 containing, at a minimum, sufficient information to identify the patient, support the  
5 diagnosis, justify the treatment, accurately document the results, indicate advice and  
6 cautionary warnings provided to the patient and provide sufficient information for another  
7 practitioner to assume continuity of the patient's care at any point in the course of  
8 treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because he did  
9 not obtain KF's past medical records, he did not document a physical examination prior to  
10 prescribing medications, he did not document any rationale for prescriptions, dosage  
11 escalations, and additions of medication and several of his progress notes were illegible,  
12 including the use of non-standard abbreviations.

13 **PATIENT JF**

14 20. On August 31, 2007, a nineteen year-old female patient ("JF") was evaluated  
15 by Respondent for chronic pain, Attention Deficit Disorder, and Obsessive Compulsive  
16 Disorder. JF reported current prescriptions of 40mg Oxycontin and 30mg Oxycodone.  
17 There was no documented physical examination or laboratory studies and Respondent did  
18 not obtain past medical records to confirm the diagnoses or prescriptions; however, he  
19 prescribed her 40mg Oxycontin #90, 30mg Oxycodone #45, and Requip.

20 21. In October 2007, Respondent added Adderall to JF's medication regime  
21 without any rationale for the medication. During the course of treatment, JF reported on  
22 multiple occasions damaged or stolen prescriptions, running out of medication, and that  
23 the pharmacy had refused to fill a prescription because of different handwriting.  
24 Respondent continued to prescribe the medications and escalated the doses of  
25 Oxycodone and Adderall. There was no documentation that Respondent ordered any

laboratory studies to support his continued prescribing of Oxycodone, Oxycontin, and Adderall or any urine drug screens to determine whether JF was taking the medications as prescribed and/or illicit substances. In addition, there was no documentation that Respondent referred JF to a specialist for a consultation.

22. The standard of care requires a psychiatrist to perform adequate psychiatric evaluations.

23. Respondent deviated from the standard of care because he did not perform an adequate psychiatric evaluation for JF.

24. The standard of care when prescribing Adderall requires a physician to perform tests to confirm the diagnosis and the necessity of the medication and to monitor the patient's use of the medication.

25. Respondent deviated from the standard of care because he did not perform tests to confirm the diagnosis and the necessity of the medication and he did not monitor JF's use of the medication.

26. The standard of care when prescribing opioids for the treatment of chronic pain requires a physician to review previous diagnostic studies and interventions, assess the chronic pain complaint prior to initiating an opioid trial, appropriately monitor the patient's use of the medication, and obtain appropriate therapeutic and laboratory test results that support the diagnosis.

27. Respondent deviated from the standard of care because he did not review past medical records and he did not order appropriate tests or consultations for JF.

28. There was no collateral information to support prescribing Adderall creating a potential for misdiagnosis, addiction, abuse, misuse, overdose, and diversion. Since no urine drug tests were performed it is unknown whether JF was taking the medication as prescribed and/or whether she was utilizing illicit substances.

1        29. A physician is required to maintain adequate legible medical records  
2 containing, at a minimum, sufficient information to identify the patient, support the  
3 diagnosis, justify the treatment, accurately document the results, indicate advice and  
4 cautionary warnings provided to the patient and provide sufficient information for another  
5 practitioner to assume continuity of the patient's care at any point in the course of  
6 treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because he did  
7 not obtain JF's past medical records, he did not document a physical examination prior to  
8 prescribing medications and he did not document any rationale for prescriptions, dosage  
9 escalations, and additions of medication. Additionally, Respondent used non-standard  
10 abbreviations.

11                    **PATIENTS DD, SS, AM, and MF**

12        30. In 2008, Respondent treated patients DD, SS, AM, and MF for chronic pain.  
13 Respondent prescribed various medications that included Oxycodone and Oxycontin  
14 based on the patients' reported history and complaints of chronic pain. There was no  
15 documentation that Respondent obtained the patients' past medical records to confirm the  
16 diagnoses. During his course of treatment, Respondent provided early refills and  
17 escalated the patients' doses of Oxycodone and Oxycontin without documenting a  
18 rationale to support his diagnosis or prescribing. Specifically, he did not perform adequate  
19 physical examinations, obtain past medical records, or order diagnostic and laboratory  
20 studies.

21        31. There also was no documentation that Respondent ordered laboratory  
22 studies or referred the patients to a specialist to confirm his continued prescribing of the  
23 opioids. In addition, there was no documentation that Respondent performed any urine  
24 drug screens to determine whether the patients were taking the medications as prescribed  
25 and/or illicit substances.

1        32. The standard of care when prescribing opioids for the treatment of chronic  
2 pain requires a physician to review past diagnostic studies and interventions, assess and  
3 confirm the chronic pain complaint prior to initiating an opioid trial, appropriately monitor  
4 the patient's use of the medication, and obtain appropriate therapeutic and laboratory  
5 results that support the diagnosis.

6        33. Respondent deviated from the standard of care because he did not review  
7 DD's, SS's, AM's, and MF's past diagnostic studies and interventions, assess and confirm  
8 their chronic pain complaints prior to initiating an opioid trial, appropriately monitor their  
9 use of the medication, or obtain appropriate therapeutic and laboratory results to support  
10 his diagnoses of chronic pain.

11        34. There was no collateral information to support prescribing opioids to DD,  
12 SS, AM, and MF creating a potential for misdiagnosis, addiction, abuse, misuse, overdose,  
13 and diversion. Since no urine drug tests were performed it is unknown whether DD, SS,  
14 AM, and MF were taking the medication as prescribed and/or whether they were utilizing  
15 illicit substances.

16        35. A physician is required to maintain adequate legible medical records  
17 containing, at a minimum, sufficient information to identify the patient, support the  
18 diagnosis, justify the treatment, accurately document the results, indicate advice and  
19 cautionary warnings provided to the patient and provide sufficient information for another  
20 practitioner to assume continuity of the patient's care at any point in the course of  
21 treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because he did  
22 not obtain DD's, SS's, AM's, and MF's past medical records; he did not document  
23 adequate physical examinations or laboratory and diagnostic studies prior to prescribing  
24 medications; he did not obtain any diagnostic studies to support his continued prescribing  
25



1 of medications and he did not document any rationale for prescriptions and dosage  
2 escalations.

3 **MD-09-0250A**

4 **PATIENT ML**

5 36. The Board initiated case number MD-09-0250A after receiving a complaint  
6 regarding Respondent's care and treatment of a twenty-three year-old male patient ("ML").

7 37. ML established care with Respondent in October 2006. Respondent  
8 diagnosed ML with spondylolisthesis based on his reported history and prescribed  
9 Oxycodone. Respondent did not perform a facet, sacroiliac joint, myofascial pain, or  
10 neural flexes examination and he did not test ML for weakness or numbness. Respondent  
11 also did not order flexion extension films to assess spinal instability from spondylolisthesis  
12 or a magnetic resonance imaging scan to assess for neural compression. In November  
13 2007, Respondent documented that ML, on his own, increased the Oxycodone  
14 medication; however, there was no documentation that Respondent cautioned ML to  
15 adhere to the prescribing instructions.

16 38. From January through December 2007, Respondent prescribed multiple  
17 early refills of Oxycodone. In January 2007, Respondent added Hydrocodone to ML's  
18 medication regime, but discontinued it in March 2007 without indication. From February  
19 2008 through December 2008, Respondent continued to prescribe Oxycodone with  
20 multiple early refills. In June 2008, Respondent was notified that ML was undergoing  
21 Methadone treatment at a facility; however, Respondent did not obtain ML's medical  
22 records from the facility.

23 39. In January 2009, Respondent discharged ML from opioid therapy, but in  
24 March 2009, he restarted the opioids without explanation. Additionally, during the course  
25 of Respondent's treatment and care of ML, there was no further documentation that

1 Respondent performed any examinations prior to prescribing the medications. There also  
2 was no documentation that Respondent obtained ML's past medical records or diagnostic  
3 studies.

4 40. The standard of care prior to initiating high dose opiate therapy requires a  
5 physician to perform an adequate exam for pain generators.

6 41. Respondent deviated from the standard of care because he did not perform  
7 an adequate exam prior to initiating high dose opiate therapy.

8 42. The standard of care requires a physician to obtain the patient's past medical  
9 records and diagnostic studies.

10 43. Respondent deviated from the standard of care because he did not obtain  
11 ML's past medical records and diagnostic studies.

12 44. The standard of care requires a physician to offer the patient adjunct  
13 treatments that include non-opioid medications and physical therapy.

14 45. Respondent deviated from the standard of care because he did not offer  
15 adjunct treatments.

16 46. The standard of care requires a physician to address aberrant drug seeking  
17 behaviors and to refrain from prescribing more than one month of Schedule II prescriptions  
18 at a time.

19 47. Respondent deviated from the standard of care because he did not address  
20 ML's aberrant drug seeking behaviors, and he did not refrain from prescribing more than  
21 one month of Schedule II prescriptions at a time.

22 48. There was potential for diversion or abuse of the Oxycodone. Actual harm is  
23 not alleged.

24 49. A physician is required to maintain adequate legible medical records  
25 containing, at a minimum, sufficient information to identify the patient, support the

1 diagnosis, justify the treatment, accurately document the results, indicate advice and  
2 cautionary warnings provided to the patient and provide sufficient information for another  
3 practitioner to assume continuity of the patient's care at any point in the course of  
4 treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because there  
5 was no documentation that Respondent performed any neuro and musculoskeletal  
6 examinations prior to prescribing opioid therapy, no documentation that Respondent  
7 cautioned ML to stay within the prescribing instructions, no documented rationale for re-  
8 starting opiates again later and Respondent did not obtain ML's medical records from the  
9 treatment facility or from his previous treating physicians.

10 **MD-09-0926A**

11 **PATIENT WO**

12 50. The Board initiated case number MD-09-0926A after receiving a complaint  
13 regarding Respondent's care and treatment of a fifty-two year-old male patient ("WO").

14 51. On January 14, 2008, Respondent assumed care and treatment of WO for  
15 his chronic pain syndrome. WO was on Oxycodone, Morphine Sulfate immediate release  
16 (MSIR) and Soma, which had been prescribed by his previous physician. Respondent  
17 reviewed previous imaging studies that included a computed tomography scan of WO's  
18 pelvis and abdomen that showed healed lower right lateral rib fractures, but no other  
19 abnormalities and a cervical spine film that showed mild hypertrophic degenerative  
20 changes in the mid cervical spine, but no other abnormalities. From the initial visit until  
21 July 27, 2009, Respondent continued to see WO and refill his prescriptions from his  
22 previous treating physicians. There was no documentation that Respondent performed a  
23 neurological or musculoskeletal examination or ordered any imaging studies of WO's  
24 lumbar spine or laboratory studies prior to continuing the treatment of WO's previous  
25 treating physician.

1        52. From March 2008 through December 2008, Respondent increased WO's  
2 30mg of Oxycodone to six tablets per day. On May 30, 2008, Respondent added  
3 Morphine Sulfate (MS) Contin 30mg for poor sleep, but subsequently increased the dose  
4 without documenting a rationale for the increase. There was no documentation that  
5 Respondent performed any physical examinations or obtained any radiologic studies to  
6 support his increased opioid prescribing.

7        53. On February 6, 2009, Respondent discontinued prescribing MS Contin and  
8 instead prescribed a dose of 30mg of morphine sulfate, six tablets per day.  
9 Simultaneously, Respondent increased WO's Oxycodone dose to eight tablets per day  
10 without documenting a rationale for the increase.

11        54. On March 10, 2009, Respondent obtained a urine drug screen for WO, which  
12 was negative for Oxycodone; however, the drug screen was positive for Methadone and  
13 Codeine, which were not among his prescribed medications, and heroin. At the next visit,  
14 Respondent documented that he was aware of the positive drug screens; however, he did  
15 not adequately investigate or address the abnormal results, which include referring WO to  
16 an addiction medicine specialist or discontinuing the opioid prescriptions.

17        55. The standard of care requires a physician to perform an adequate work up of  
18 the patient prior to continuing treatment of the patient's prior treating physician.

19        56. Respondent deviated from the standard of care because he did not perform  
20 an adequate work up of WO prior to continuing the treatment of his previous treating  
21 physician.

22        57. The standard of care requires a physician to perform an adequate physical  
23 examination and obtain radiologic data to support the amount of opioid medications  
24 prescribed to the patient.  
25

1 58. Respondent deviated from the standard of care because the physical  
2 examination and radiologic data did not support the amount of opioid medications he  
3 prescribed to WO.

4 59. The standard of care requires a physician to adequately investigate or  
5 address the patient's abnormal urine drug screens.

6 60. Respondent deviated from the standard of care because he did not  
7 adequately investigate or address WO's abnormal urine drug screens.

8 61. Respondent allowed WO to continue a pattern of illicit substance use and  
9 opioid misuse. The long-term use of Soma has the potential for habituation and misuse.  
10 Respondent's prescribing of 240 tablets of Oxycodone per month created a potential for  
11 misuse and diversion. Actual harm is not alleged.

12 62. A physician is required to maintain adequate legible medical records  
13 containing, at a minimum, sufficient information to identify the patient, support the  
14 diagnosis, justify the treatment, accurately document the results, indicate advice and  
15 cautionary warnings provided to the patient and provide sufficient information for another  
16 practitioner to assume continuity of the patient's care at any point in the course of  
17 treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because there  
18 was no documentation that Respondent performed a neurological or musculoskeletal  
19 examination or ordered any imaging or laboratory studies prior to continuing the treatment  
20 and there was no documented rationale for his excessive prescribing of opioids.

#### 21 CHART REVIEWS

#### 22 MD-09-1263A

23 63. Respondent received a Letter of Reprimand and was placed on probation by  
24 the Board on April 1, 2009. Respondent successfully completed and met all requirements  
25 of that probation and was formally discharged from the probation. As a term of the

1 probation, Respondent was to participate in the periodic review of his patients' charts.  
2 Three patient charts were randomly selected by Board staff and reviewed by a medical  
3 consultant who found deviations from the standard of care in each case. The medical  
4 consultant also noted medical recordkeeping issues.

5 **PATIENT JR**

6 64. JR was treated by Respondent for reported neck and back pain from July 3,  
7 2007 until on or about September 21, 2009. No previous medical records were obtained  
8 prior to Respondent prescribing Oxycodone along with Xanax and Subutex. Although CT  
9 scans of the head and neck were reported normal on 2/18/08, Respondent continued to  
10 prescribe Oxycodone on numerous occasions until on or about August 7, 2009.  
11 Respondent changed JR's medication on several occasions without documenting his  
12 reasoning and Respondent refilled JR's medication after JR reported that it had been  
13 stolen.

14 65. The standard of care when treating a patient for chronic pain is to obtain  
15 prior records pertaining to the past treatment of the patient.

16 66. Respondent deviated from the standard of care because he did not obtain  
17 JR's previous medical and/or treatment records prior to prescribing opioid medication for  
18 reported chronic pain.

19 67. The standard of care when treating a patient for chronic pain is to obtain any  
20 objective measures for the cause of the pain.

21 68. Respondent deviated from the standard of care because he failed to obtain  
22 objective measures for the cause of JR's pain.

23 69. Respondent's conduct could result in an overdose and/or perpetuation of  
24 drug seeking behavior and addiction. Actual harm is not alleged.

1        70. A physician is required to maintain adequate legible medical records  
2 containing, at a minimum, sufficient information to identify the patient, support the  
3 diagnosis, justify the treatment, accurately document the results, indicate advice and  
4 cautionary warnings provided to the patient and provide sufficient information for another  
5 practitioner to assume continuity of the patient's care at any point in the course of  
6 treatment. A.R.S. § 32-1401(2). Respondent's records are inadequate because they fail  
7 to document a treatment plan and reasoning for high dose opioids in a patient with a  
8 history of substance abuse, lost/stolen medications and positive drug screen findings.  
9 Further, Respondent's records failed to adequately document the reasoning and results  
10 regarding the prescribing of Adderall.

11                                    **PATIENT LP**

12        71. LP presented to Respondent on August 23, 2005 with a reported history of  
13 chronic lower back pain, DJD, musculoskeletal pain, chronic depression, PTSD, Lupus  
14 and ADD. On that date and subsequently, Respondent prescribed the opioids, Oxycontin  
15 and Oxycodone without obtaining past medical records. Objective data in the chart, such  
16 as x-rays, were documented as normal; however, Respondent continued to treat LP with  
17 opioids and/or methadone through on or about October 27, 2009 without a documented  
18 treatment plan. Medications were increased and/or changed at times without documented  
19 reasoning.

20        72. The standard of care when treating a patient for chronic pain is to obtain  
21 objective measures as to the cause of the pain.

22        73. Respondent deviated from the standard of care in that he continued to treat  
23 LP's reported pain with high-dose opioid medications without obtaining objective measures  
24 as to the cause of the reported pain.  
25

1       74. Respondent's conduct could result in an overdose or perpetuation of drug  
2 seeking behavior and addiction. Actual harm is not alleged.

3       75. A physician is required to maintain adequate legible medical records  
4 containing, at a minimum, sufficient information to identify the patient, support the  
5 diagnosis, justify the treatment, accurately document the results, indicate advice and  
6 cautionary warnings provided to the patient and provide sufficient information for another  
7 practitioner to assume continuity of the patient's care at any point in the course of  
8 treatment. A.R.S. § 32-1401(2). Respondent's records are inadequate because they fail  
9 to adequately document the initial visit, treatment plan and reasoning for high dose opioids  
10 and changes in medications.

11                                   **PATIENT ML**

12       76. Patient ML is the same patient who is the subject of paragraphs 36 through  
13 49 above. After being placed on probation by the Board, Respondent continued to  
14 prescribe pain medication to ML until on or about September 14, 2009.

15       77. Respondent continued to prescribe high-dose opioids to ML for pain  
16 secondary to spondylolisthesis, although, an x-ray in the chart dated February 18, 2008,  
17 states "no evidence of spondylolisthesis."

18       78. The standard of care when treating a patient for chronic pain is to obtain  
19 objective measures as to the cause of the pain.

20       79. Respondent continued to treat ML's reported pain with high-dose opioids  
21 without obtaining objective measures for the cause of his pain.

22       80. Respondent's conduct could result in perpetuation of ML's drug seeking  
23 behavior/addiction or an overdose. Actual harm is not alleged.



**MD-10-0100A**

81. The Board initiated case number MD-10-0100A after receiving a Complaint from a pharmacy at UPH alleging inappropriate prescribing.

82. Respondent prescribed large amounts of opioids to patient CJ with an inadequate treatment plan; although, CJ had a history of testing positive for Heroin, Oxycodone, Morphine and Cocaine. On two occasions, CJ tested positive for narcotics that were not prescribed by Respondent.

83. The standard of care is to develop an adequate treatment plan prior to prescribing opioids and to treat the patient's substance abuse problem before treating pain.

84. Respondent prescribed opioids to CJ without an adequate treatment plan.

85. Respondent's conduct exposed the patient to possible drug overdose and drug diversion. Actual harm is not alleged.

**CONCLUSIONS OF LAW**

1. The Board possesses jurisdiction over the subject matter hereof and over Respondent.

2. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401 (27)(e) ("[f]ailing or refusing to maintain adequate records on a patient.") and A.R.S. § 32-1401(27)(q) ("[a]ny conduct or practice that is or might be harmful or dangerous to the health of the patient or the public").

**ORDER**

IT IS HEREBY ORDERED THAT:

1. Respondent is issued a Decree of Censure.

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1           2.   Practice Restriction

2           a.   Respondent is prohibited from prescribing, administering or dispensing  
3               any opioids for a period of **one year**; however, Respondent is given 60  
4               days from the effective date of this Order to terminate opiate care of all  
5               of his patients requiring opioids such that the patients have the  
6               opportunity to find another provider.

7           b.   **The one-year restriction will commence upon the conclusion of the**  
8               **60-day period in which Respondent is to terminate opiate care of**  
9               **patients requiring opioids.**

10          3.   Probation

11          Respondent is placed on probation for **two years** with the following terms  
12          and conditions:

13          a.   Respondent shall, within 30 days of the effective date of this Order,  
14          enter into a contract with Affiliated Monitors to provide all monitoring  
15          services. Respondent shall pay all costs of monitoring requirements and  
16          services.

17          b.   Respondent is to complete the PACE prescribing course within 6  
18          months of the effective date of this Order.

19          c.   Upon completion of the PACE prescribing course, Affiliated Monitors  
20          will conduct quarterly chart reviews for the remainder of the  
21          probationary period and report results to the Board. Respondent shall  
22          pay the expenses of Affiliated Monitors and all chart reviews and fully  
23          cooperate with any requests made by Affiliated Monitors in conducting  
24          the chart reviews.  
25

1 d. Obey All Laws

2 Respondent shall obey all state, federal and local laws, all rules  
3 governing the practice of medicine in Arizona, and remain in full  
4 compliance with any court ordered criminal probation, payments and  
5 other orders.

6 e. Tolling

7 In the event Respondent should leave Arizona to reside or practice  
8 outside the State or for any reason should Respondent stop practicing  
9 medicine in Arizona, Respondent shall notify the Executive Director in  
10 writing within ten days of departure and return or the dates of non-  
11 practice within Arizona. Non-practice is defined as any period of time  
12 exceeding thirty days during which Respondent is not engaging in the  
13 practice of medicine. Periods of temporary or permanent residence or  
14 practice outside Arizona or of non-practice within Arizona, will not apply  
15 to the reduction of the probationary period.  
16

17 4. This Order is the final disposition of case numbers MD-09-0131A and MD-  
18 09-0250A, MD-09-0926A, MD-09-1263A and MD-10-0100A. Moreover, it is agreed that  
19 there will be no further charges brought against Respondent arising out of past or current  
20 patient charts that the Board has taken possession of to date.

21 DATED AND EFFECTIVE this 10th day of June, 2010.

22 ARIZONA MEDICAL BOARD

23 (SEAL)



24 By Amanda Dick  
25 h Lisa S. Wynn  
Executive Director

**CONSENT TO ENTRY OF ORDER**

1. Respondent consents to the entry of the order set forth above as a compromise of a disputed matter between Respondent and the Board, and does so only for the purpose of terminating the disputed matter by agreement. Respondent acknowledges it is the Board's position that, if this matter proceeded to formal hearing, the Board could establish sufficient evidence to support a conclusion that certain aspects of Respondent's conduct constituted unprofessional conduct.

2. Respondent agrees not to contest the validity of the Findings of Fact and Conclusions of Law contained in the Order in any present or future administrative proceedings before the Board (or any other state agency in the State of Arizona, concerning the denial or issuance of any license or registration required by the state to engage in the practice or any business or profession.)

3. Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Order"). Respondent acknowledges he has the right to consult with legal counsel regarding this matter.

4. Respondent acknowledges and agrees that this Order is entered into freely and voluntarily and that no promise was made or coercion used to induce such entry.

5. By consenting to this Order, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Order in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Order.

6. The Order is not effective until approved by the Board and signed by its Executive Director.

7. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving

1 the Board and Respondent. Therefore, said admissions by Respondent are not intended  
2 or made for any other use, such as in the context of another state or federal government  
3 regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or  
4 any other state or federal court.

5 8. Upon signing this agreement, and returning this document (or a copy  
6 thereof) to the Board's Executive Director, Respondent may not revoke the consent to the  
7 entry of the Order. Respondent may not make any modifications to the document. Any  
8 modifications to this original document are ineffective and void unless mutually approved  
9 by the parties.

10 9. This Order is a public record that will be publicly disseminated as a formal  
11 disciplinary action of the Board and will be reported to the National Practitioner's Data  
12 Bank and on the Board's web site as a disciplinary action.

13 10. If any part of the Order is later declared void or otherwise unenforceable, the  
14 remainder of the Order in its entirety shall remain in force and effect.

15 11. If the Board does not adopt this Order, Respondent will not assert as a  
16 defense that the Board's consideration of the Order constitutes bias, prejudice,  
17 prejudgment or other similar defense.

18 12. Any violation of this Order constitutes unprofessional conduct and may result  
19 in disciplinary action. A.R.S. §§ 32-1401(27)(r) ("[v]iolating a formal order, probation,  
20 consent agreement or stipulation issued or entered into by the board or its executive  
21 director under this chapter") and 32-1451.

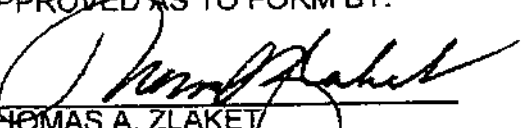
22 13. Respondent acknowledges that, pursuant to A.R.S. § 32-2533(E), he cannot  
23 act as a supervising physician for a physician assistant while his license is under  
24 restriction.  
25

1 14. Respondent has read and understands the conditions of the restriction.

2   
3 \_\_\_\_\_  
4 DAVID A. RUBEN, M.D.

DATED: 5-28-10

5 APPROVED AS TO FORM BY:

6   
7 \_\_\_\_\_  
8 THOMAS A. ZLAKET  
9 ATTORNEY FOR RESPONDENT

DATED: 5/21/10

10 ORIGINAL of the foregoing filed  
11 this 18th day of June, 2010 with:

12 Arizona Medical Board  
13 9545 E. Doubletree Ranch Road  
14 Scottsdale, AZ 85258

15 EXECUTED COPY of the foregoing mailed  
16 this 18th day of June, 2010 to:

17 Thomas A. Zlaket, Esq.  
18 Thomas A. Zlaket, P.L.L.C.  
19 310 S. Williams Blvd., Suite 170  
20 Tucson, Arizona 85711-4446

21 EXECUTED COPY of the foregoing mailed  
22 this 18th day of June, 2010 to:

23 David A. Ruben, M.D.  
24 Address of Record

25   
\_\_\_\_\_

Investigational Review

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